



## Innovator Award for Posthemorrhagic Hydrocephalus

### Policies and Guidelines

**Executive Summary:** The Hydrocephalus Association is soliciting applications for research on posthemorrhagic hydrocephalus. The goal of this initiative is to provide seed funding for bold and innovative research with the potential to transform the field of hydrocephalus through the understanding of disease mechanisms and the development of novel therapies and treatment approaches. This award is not intended to support human trials nor is it intended to support the development of new animal, ex vivo, or in vitro models of hydrocephalus for the purpose of future research.

The award provides short term seed funding for up to 12 months. Proposals may be submitted for actual costs at one of two funding levels, \$25,000 or \$50,000. Total funding through this RFA is expected to be \$200,000. The number of awards made will depend on the quality of the applications received and the research priorities determined by the Hydrocephalus Association. The Hydrocephalus Association will accept applications from researchers based at accredited non-profit research and academic institutions throughout the world. The award provides no institutional overhead. All applications must be submitted in English. In upcoming years, the Hydrocephalus Association anticipates a follow-on RFA for multiyear support.

#### Eligibility Criteria

This award is not open to current Innovator Award grantees

To be eligible, candidates must:

- Have a publication record containing articles that are innovative and high impact
- Have demonstrated the ability to independently supervise staff and research
- Have completed one or more of the following degrees: MD, PhD, DSc, DO, Pharm.D, or equivalent
- Hold a research associate, assistant professorship, associate professorship, professorship, or any equivalent academic or non-academic positions

Applicants must sign up through the Hydrocephalus Association's Network for Discovery Science. To create an account and download the LOI, please visit: <http://hands.hydroassoc.org/>.

#### Application Details

The Letter of Intent (LOI) components include:

- A one-page summary of the project
- Identification of the supporting institution
- Identification of three potential reviewers

The Full Application components include:

- Contact details and other relevant administrative information (Face Page)
- Proposal Budget (Budget)
- Biographical sketches are required for the Applicant, Co-Investigator (if applicable), and Key Personnel. Biographical Sketches should not to exceed four (4) pages (BioSketch)
- A research plan for the proposed project, of not more than five (5) pages, that contains a summary, specific aims and hypotheses, background and significance, research design and methods, next steps, and literature cited. (Research Plan)
- Describe institutional facilities and any consultations/collaborations if applicable. (Facilities Collaborations)
- Applications from all candidates should also include a letter from the appropriate administrative institutional official confirming the institutions commitment to the responsible conduct of research, the candidate’s eligibility and good standing, and that, if selected, the candidate would be able to accept the award.

[Click here to view and download the application components](#)

All applicants must provide assurance of compliance with local research regulatory bodies and with local laws in advance of the award start date. Additionally, for applications using human embryonic stem cells or human tissue the candidate must obtain appropriate Embryonic Stem Cell Research Oversight Committee (ESCRO) and human subjects research approvals in advance of the award start date.

### Submission Information

**Letter of Intent Deadline: September 1, 2016**

**Application Deadline: November 3, 2016**

Potential applicants must submit a letter of intent **on September 1, 2016 by 5:00 pm EDT** describing the nature of the proposed project and its relationship to hydrocephalus, identifying their institution, and identify three (3) potential reviewers. Completed applications, including institutional commitment, must be submitted as a single PDF document **on November 3, 2016 by 5:00 pm EDT**. Completed applications should be emailed to [research-loi@hydroassoc.org](mailto:research-loi@hydroassoc.org).

Late applications will not be accepted and the deadline will not be waived.

Letter of Intent Form Deadline:	September 1, 2016, 5:00 pm EDT
Application Submission Deadline:	November 3, 2016, 5:00 pm EDT
Review:	November - December, 2016
Awardee(s) selected:	December, 2016

If, after reviewing these application instructions, assistance is needed in preparing the application, contact the HA Director of Research Programs:

Phone: 240.483.4540

Email: [research@hydroassoc.org](mailto:research@hydroassoc.org)

A Review Committee of research scientists from both within and outside of the hydrocephalus research area will review the Innovator Award applications. Each application will initially be assessed and scored by a primary and a secondary reviewer based upon the following criteria:

- Qualifications of the Applicant
- Use of Innovative Ideas, Techniques, Technology
- Likelihood that the Proposed Project will Advance Hydrocephalus Treatment/Cure
- Scientific Merit of the Research Proposal

Based upon the assessment of applications by the Scientific Review Committee, the Research Committee will make a recommendation to the full Board of Directors for approval. The number of awards will depend on the quality of the applications received, available funds, and the research priorities determined by the Hydrocephalus Association in light of the hydrocephalus research landscape.

### **Format Specifications for all application components**

[Click here to view and download the application components](#)

- Type applications in black ink using a standard, readable font such as Arial, Helvetica, Palatino, Garamond or Times New Roman with a font size of 11 points or larger. Symbol fonts may be used to insert Greek letters or special characters. Smaller type size is acceptable in figures, graphs, charts, tables, figures, legends and footnotes, but these must be in black ink and readily legible.
- Use standard 8 ½ x 11 paper with at least half inch margins on all sides, a single column format, single-sided, single-spaced with consecutively numbered pages.
- Submit as a single PDF Document to the Director of Research Programs (research@hydroassoc.org)

#### **1. Face Page**

The Face Page includes the main identifying information for the application including applicant's name and contact information, institution name and address and title of application. Please use the Word document provided. If it is necessary to recreate the form, please adhere to the original format and wording. Please include a scan of the completed face page as part of the single PDF file. The Face Page is limited to one (1) page.

#### **2. Budget**

Please complete the **Budget** section for the total duration of support requested (maximum of 12 months). Please include personnel costs, subcontract costs, and estimate material costs according to general categories (i.e. animal costs, supplies, equipment etc.).

#### **Budget:**

- Personnel – Designate the percentage of the applicant's time devoted to research on this project. If the salary is supplemented by support from other agencies, the percent of salary requested must be equal to or less than the percent of time allotted to this project. Indicate dollar amounts separately for salary and benefits. If support is requested for a technician, identify the amount of time the technician will devote to the study.
- Subcontracts – Identify the dollar amount of any subcontracts.
- Materials – Please estimate material costs according to general categories (i.e. animal costs, supplies, equipment, etc.). A detailed budget is not necessary.

- Indirect Costs – Indirect costs are not covered by this grant and cannot be included.

Applicants can apply at either a \$25,000 or \$50,000 level. Applications for funding that exceed \$50,000 will not be considered. The Hydrocephalus Association reserves the right to request a budget justification after application submission.

### 3. Biographical Sketch

Complete a biographical sketch for the Applicant, Co-Investigator (if applicable), and Key Personnel. Use the format provided. Clearly identify innovative and high impact research. Highlight prior publications relevant to the present application. Biographical Sketches should not exceed four (4) pages.

- Personal Statement.** Briefly describe why you are well-suited for your role in the project described in this application
- Positions and Honors.** List in chronological order previous positions, concluding with the present position. List any honors.
- Contribution to Science.** Briefly describe up to three (3) of your most significant contributions to science related to the proposed project. Indicate the historical background that frames the scientific problem; the central finding(s); the influence of the finding(s) on the progress of science or the application of those finding(s) to health or technology; and your specific role in the described work. Reference up to four peer-reviewed publications or other non-publication research products (can include audio or video products; patents; data and research materials; databases; educational aids or curricula; instruments or equipment; models; protocols; and software or netware) that are relevant to the described contribution. Each description should be no longer than one half page including figures and citations. Also provide a URL to a full list of your published work as found in a publicly available digital database such as SciENcv or My Bibliography, which are maintained by the US National Library of Medicine.
- Research Support.** List both selected ongoing and completed research projects for the past three years (Federal or non-Federally-supported). Begin with the projects that are most relevant to the research proposed in the application. Briefly indicate the overall goals of the projects and responsibilities of the key person identified on the Biographical Sketch. Do not include number of person months or direct costs.

### 4. Research Plan

This section is the opportunity to describe the Research Plan in sufficient detail to permit a thorough scientific review. The Research Plan (sections A-E) for this award is limited in length to four (4) pages, excluding the literature cited. Limit literature cited to one (1) page. Reviewers will look favorably on writing that is clear, concise, specific and informative.

- Summary.** State concisely and realistically what the research described in the application is intended to accomplish during the duration of the grant, including the hypotheses to be tested or the need(s) to be fulfilled. Highlight the use of innovative techniques, technology, and/or thinking to understand hydrocephalus disease mechanisms. Describe how your project could lead to the prevention, reversal, or cure of posthemorrhagic hydrocephalus. Do NOT exceed 150 words.
- Specific Aims and Hypotheses.** State concisely and realistically what the research described in the application is intended to accomplish during the period of the grant, including the hypotheses to be tested.

- C. Background and Significance.** Briefly describe background information critical to understanding the present proposal. Concisely state the importance and rationale of this project including how this research is addressing a critical gap in hydrocephalus research. If the aim(s) of the application is achieved, state how scientific knowledge will be advanced and the implications for future pre-clinical and/or translational therapeutic research. Since you are applying to the Hydrocephalus Association for a grant, there is no need to make arguments for the significance of the condition. Please focus your application on the impact that your research will have on the state of hydrocephalus research or the subtype of hydrocephalus that would be impacted by your findings.
- D. Research Design and Methods.** Provide a detailed discussion of the research design and methods to be used to accomplish the specific aim(s). Discuss the data expected to be obtained and the means by which data will be collected, analyzed and interpreted. If new methods, techniques, or procedures are to be used, explain their potential advantages over existing methodologies. Discuss potential difficulties and/or limitations of the proposed procedures and alternative approaches to achieve aims. Point out any procedures, situations or materials that may be hazardous to personnel or patients and the precautions to be exercised.
- E. Next Steps.** If this research is funded, briefly describe your next steps including submission of grant proposals to other governmental and nongovernmental agencies. Please be specific.
- F. Literature Cited.** References should be numbered in the sequence that they appear in the text and listed at the end of the Research Plan. Each citation must include the names of authors, the name of the journal or book, volume number, page number and year of publication (titles are optional).

## 5. Facilities and Collaborations

All applicants must complete part (A) Facilities. If the project includes consultant arrangements and/or collaboration with other individuals outside of the applicant institution, complete part (B) Consultant or Collaboration Arrangements. The Facilities and Collaborations section is limited in length to two (2) pages.

- A. Facilities.** Please provide an overview of the institutional facilities/equipment available for this study.
- B. Consultant or Collaboration Arrangements.** If the proposed project includes consultant arrangements and/or collaboration with other individuals outside the applicant's institution, describe the working relationships and support this description by letter(s) of support signed by collaborating individual(s). If clinical material required by this grant is to be furnished by other individuals, include a statement from these individuals agreeing to their participation and precautions taken to ensure anonymity of patients.

## 6. Institutional Commitment Letter

Applications from all candidates should also include a letter from the appropriate administrative institutional official confirming the institutions commitment to the responsible conduct of research, the candidate's eligibility and good standing, and that, if selected, the candidate would be able to accept the award.

In advance of the start date, a signed letter from the appropriate sponsoring institutional official must accompany this application to verify that research conducted in accordance with this award has met

the institutional requirements for the following:

1. An Institutional Review Board (IRB) has reviewed and approved the procedures for the use of human subjects, or human organs, tissues and body fluids, in the proposed research, in accordance with Department of Health and Human Services policies. Include the IRB number and a copy of the approved form with the letter.
2. A DATA SAFETY MONITORING PLAN (DSMP) for any proposed study that places human subjects at more than minimal risk.
3. A plan to include, recruit and retain subjects from both genders, all racial and ethnic groups (and subgroups), and children as appropriate for the scientific goals of the research.
4. Research Involving Recombinant DNA meets the requirements contained in the document "NIH Guidelines for Research Involving Recombinant DNA Molecules" (revised April 2002).
5. Research Involving Animals meets the guidelines of the National Institutes of Health, U.S. Public Health Service, which require that all proposed studies be reviewed and approved by an Institutional Animal Care and Use Committee (IACUC). If applicable, please provide the federally approved Animal Welfare Research Number, and the IACUC letter of approval.
6. Adequate protection will be assured for any Biohazards involved in the research.
7. Approval for the use of human embryonic stem cells must be obtained through an appropriate Embryonic Stem Cell Research Oversight Committee (ESCRO).